## UPF Talk 02/2025: Studies, Methods & Outlook

Max Pellert (https://mpellert.at)



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Max Pellert Courses & Talks













#### Max Pellert, PhD

Group Leader Barcelona Supercomputing Center

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#### > Bio

Max Pellert has a background in computer science, the social sciences, cognitive science and economics (University of Vienna, Austria and University of Ljubljana, Slovenia). He was a doctoral researcher in Computational Social Science affiliated to Complexity Science Hub Vienna and Medical University of Vienna in the WWTF research group "Emotional Well-Being in the Digital Society" led by David Garcia (now University of Konstanz). His research during his PhD focused on analyzing the digital traces of individual and collective emotional behavior and affective expression on social media. After receiving his PhD, he gained industry experience as Assistant Researcher at Sony Computer Science Laboratories Rome. He worked at the Chair for Data Science in the Economic and Social Sciences at University of Mannheim as assistant professor. He was interim Professor for Social and Behavioural Data Science at the University of Konstanz. Currently, he works as a group leader at the Barcelona Supercomputing Center. He is broadly interested in the social sciences and uses traditional and novel computational methods from domains such as Natural Language Processing to study belief updates, emotional decay on social media, polarization, psychometric aspects of large language models, emotional well-being measured from textual data, semantic embeddings as complements to human ratings and many other interesting phenomena.

Currently: Group Leader at the Barcelona Supercomputing Center in the Department for Computational Social Science and Humanities

Before: Professor for Social and Behavioural Data Science (interim, W2) at the University of Konstanz

### https://mpellert.at

Assistant Professor (Business School of the University of Mannheim)

I worked in industry at SONY Computer Science Laboratories in Rome, Italy

PhD from the Complexity Science Hub Vienna and the Medical University of Vienna in Computational Social Science

Studies in Psychology and History and Philosophy of Science

Msc in Cognitive Science and Bsc in Economics (both University of Vienna)

## Basics: Extracting Signals from Text

One example: Linguistic Inquiry and Word Count, LIWC (pronounced "Luke")

Simple word matching method

Generated and validated by psychologists (Pennebaker et al., 2001-today)

#### I think we should worry about the pizza.

```
i funct<sub>1</sub> pronoun<sub>2</sub> ppron<sub>3</sub> i<sub>4</sub>
think verb<sub>11</sub> present<sub>14</sub> cogmech<sub>131</sub> insight<sub>132</sub>
we funct<sub>1</sub> pronoun<sub>2</sub> ppron<sub>3</sub> we<sub>5</sub> social<sub>121</sub> cogmech<sub>131</sub> incl<sub>138</sub>
should funct<sub>1</sub> verb<sub>11</sub> auxverb<sub>12</sub> future<sub>15</sub> cogmech<sub>131</sub> discrep<sub>134</sub>
worr* affect<sub>125</sub> negemo<sub>127</sub> anx<sub>128</sub>
about funct<sub>1</sub> adverb<sub>16</sub> preps<sub>17</sub>
the funct<sub>1</sub> article<sub>10</sub>
pizza* bio<sub>146</sub> ingest<sub>150</sub>
```

#### **Examples of LIWC classes:**

Positive Affect, Negative Affect Anxiety, Sadness, Anger Social processes

## Basics: Extracting Signals from Text

More advanced examples using deep learning Classifiers based on transformer architectures (RoBERTa) Large general purpose language models adapted to the task of emotion classification





https://huggingface.co/maxpe

https://huggingface.co/oliverguhr/germansentiment-bert

And many many more...

### Sentiment Analysis

Has gotten a somewhat bad name: "Why don't we run something on the text?"

Often conceptually flawed + noisy data + inadequate annotation schemes to create many different tools

Results can be cherry-picked by optimizing on the tool

But, we argue, used right it can be a valuable research instrument

## Sentiment Analysis Evidence

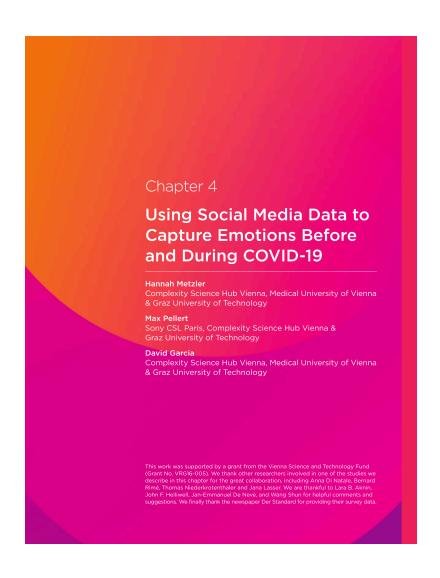
Individual text level (for example a single tweet): Not reliable, sarcasm, irony, performative nature of social media: we need a substantial number of texts to get through the noise (especially with dictionary methods, also base rates are low)

Individual person level: Associations sometimes higher (for example for depression: Eichstaedt et al., 2018) and sometimes lower (PANAS scale: Beasley & Mason, 2015) with (rather) stable personality traits

Group level (geographical): Debated, for example Twitter heart disease study (Eichstaedt et al., 2015), methods have to be validated and checked for robustness (Jaidka et al., 2020)

Our contribution: *Macroscopically* validating if we are able to capture momentary feeling of a population on a daily level

## World Happiness Report



Metzler, H., Pellert, M., & Garcia, D. (2022). Using Social Media Data to Capture Emotions Before and During COVID-19 (World Happiness Report 2022).

https://worldhappiness.report/ed/2022/usingsocial-media-data-to-capture-emotionsbefore-and-during-covid-19/





David Garcia Hannah Metzler

#### Data sources

#### derstandard.at

An internet pioneer in the German speaking area (centered on Austria)

Popular page: almost 57 million visits in November 2020

Active forum with many postings below news articles

#### **Twitter**

Tweets from Austria (data on location from Brandwatch)







This tweet contains emotions.

7:35 PM • Oct 5, 2020









## Mood Survey on derstandard.at

Survey on yesterday's emotional state run for 20 days in November 2020 "How was your last day" ("Wie war der letzte Tag?")

Was displayed in between the article text in a low barrier manner, could be answered anonymously

In a collaboration with derstandard.at, we obtained the survey results

The data allows us to investigate the relationship of the explicit survey measure with the results of methods that extract sentiment indirectly from text

#### STANDARD-User kamen mehrheitlich ohne Stimmungstief durch den Lockdown

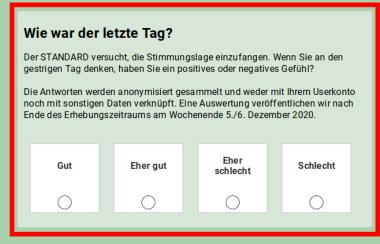
Knapp zwei Drittel der Umfrageteilnehmer gaben im mehrwöchigen Durchschnitt an, den Tag davor gut oder eher gut wahrgenommen zu haben

#### Michael Matzenberger

5. Dezember 2020, 12:00, 470 Postings

Ist den Menschen in Österreich während der Zeit des Lockdowns die gute Stimmung abhandengekommen? (Und waren sie zunächst überhaupt guter Stimmung, die abhandenkommen konnte?) Wenn man von Ihnen, unseren p.t. Usern, ausgeht, war das grundlegend nicht der Fall.

Eine Woche nachdem der gemäßigte Lockdown am 3. November in Kraft getreten war, zeichnete sich wegen weiterhin stark steigender Covid-19-Zahlen eine Verschärfung der Maßnahmen ab. Um für die zum aktuellen Wochenende erscheinende STANDARD-Schwerpunktausgabe mit dem Leitmotiv "Hoffnung" die Moral im restriktiven Lockdown einzufangen, wollten wir also ab 11. November wissen: "Wenn Sie an den letzten Tag denken, haben Sie ein positives oder negatives Gefühl?" Als Abstimmungsmöglichkeiten haben wir "gut", "eher gut", "eher schlecht" und "schlecht" zugelassen.



Drei Wochen lang wurde die Umfrage in vielen Artikeln eingeblendet, und dort, wo sie nicht eingeblendet wurde, vermisste man sie bisweilen.



Alles gut?

gelöschtes Profil vor einem Jahr

## Text analysis

Combination of dictionary based and deep learning (RoBERTa) based sentiment analysis on the text of postings (in German): LIWC and German Sentiment

These were the only two tools used, no cherry-picking the methods (see preregistration that we will discuss later)

Wolf, M., Horn, A. B., Mehl, M. R., Haug, S., Pennebaker, J. W., & Kordy, H. (2008). Computergestützte quantitative Textanalyse: Äquivalenz und Robustheit der deutschen Version des Linguistic Inquiry and Word Count. Diagnostica, 54(2), 85–98. https://doi.org/10.1026/0012-1924.54.2.85

Guhr, O., Schumann, A.-K., Bahrmann, F., & Böhme, H. J. (2020). Training a broad-coverage german sentiment classification model for dialog systems. Proceedings of the 12th Language Resources and Evaluation Conference, 1620–1625. https://www.aclweb.org/anthology/2020.lrec-1.202/

## Text analysis

268,128 survey responses between November 11th and 30th, 2020

11,082 unique users and 743,003 postings on derstandard.at during the survey period

11,237 unique users and 635,185 tweets for Twitter

We subtract baseline corrected negative from baseline corrected positive on the texts of each day

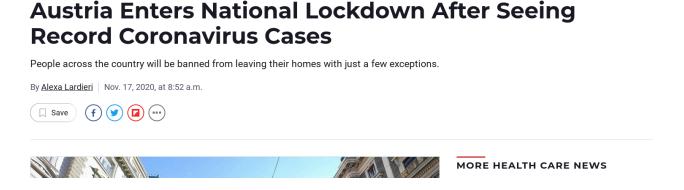
Baseline period from "2020-03-16" to "2020-04-20", first COVID-19 lockdown in Austria

## Text analysis

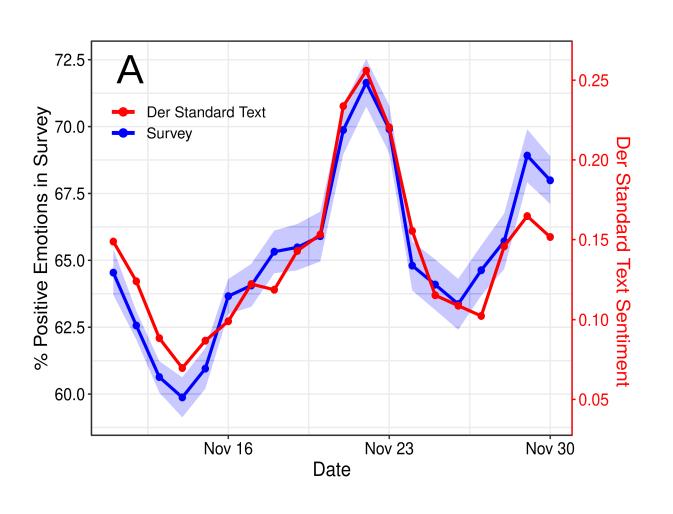
To match the range of the survey question, we take a three day rolling average (right-aligned)

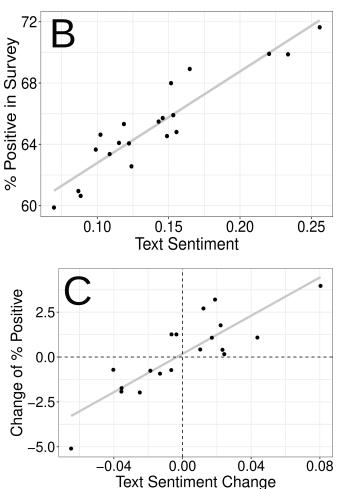
This way we account for people answering the survey in the evening/night with different reference points to "yesterday"

Compare to: % of positive in the survey



## Close correspondence between explicit survey and text analysis (same platform)



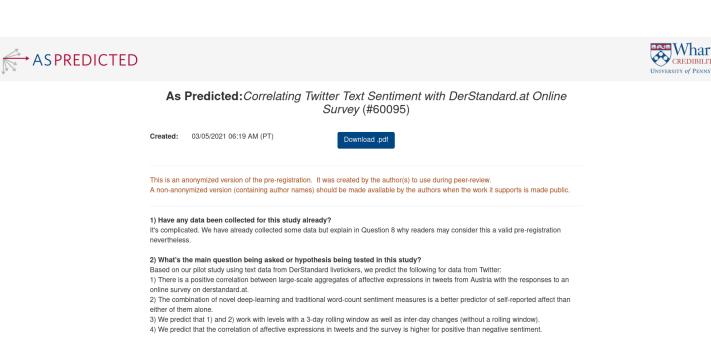


## Preregistration

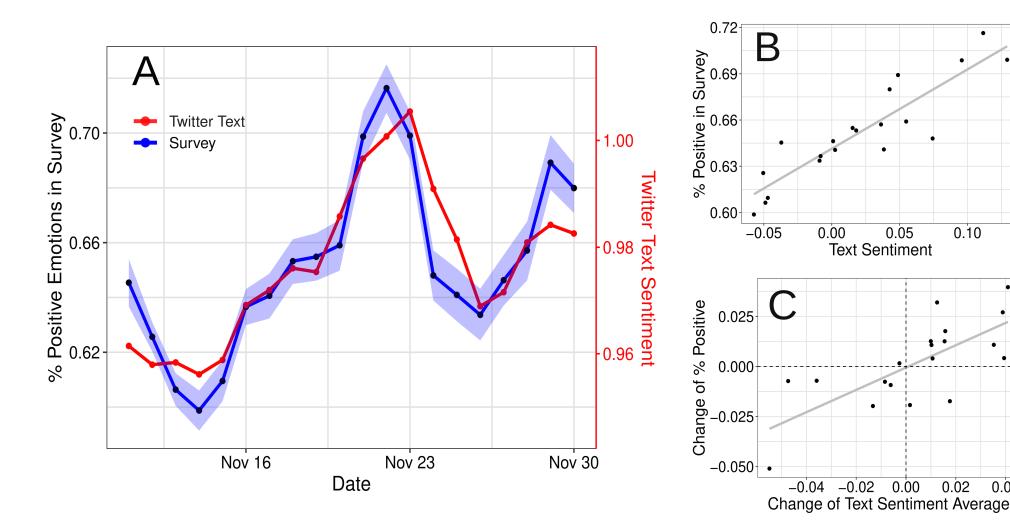
We planned an extension of the analysis to another platform (Twitter)

To see if this a platform effect or if the correspondance between text analysis and explicit survey generalizes

We pre-registered the same study design as before but with Twitter data



## Close correspondence between explicit survey and text analysis also for Twitter



0.05

0.00

0.02

0.10

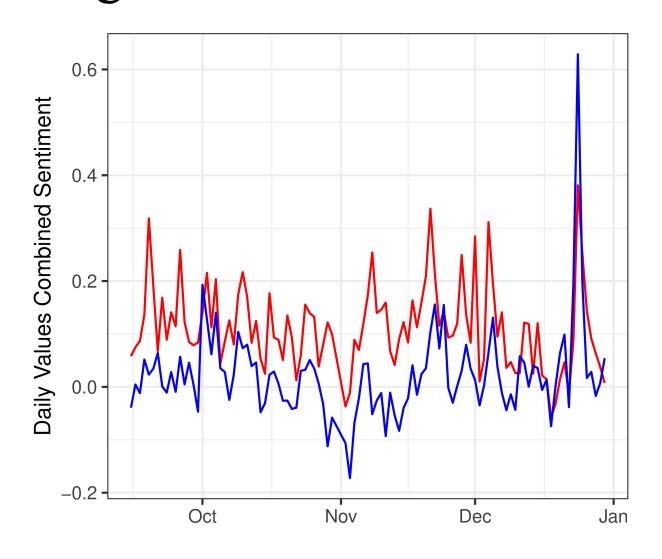
#### Components

Generally, the negative components of text analysis results could be improved

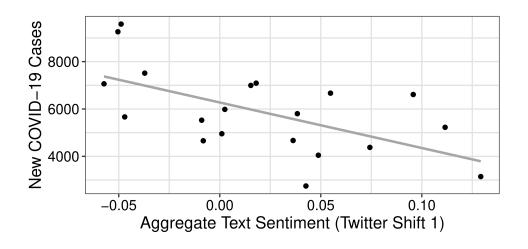
LIWC negative on derstandard fails (dialect words that are not included in the dictionary?)

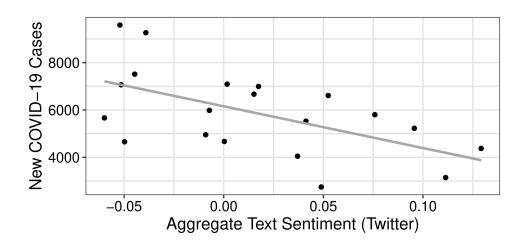
	Der Standard (No shift)	Twitter (Shift 1)	Twitter (No shift)
LIWC+GS	$0.93 \ [0.82, 0.97]$	$0.90 \ [0.75, 0.96]$	0.71 [0.39,0.88]
LIWC	$0.74 \ [0.44, 0.89]$	$0.85 \ [0.65, 0.94]$	$0.66 \ [0.31, 0.85]$
LIWC pos	$0.81 \ [0.56, 0.92]$	$0.80 \ [0.56, 0.92]$	$0.60 \ [0.22, 0.83]$
LIWC neg	$0.03 \left[-0.42, 0.46\right]$	-0.74 [-0.89,-0.43]	-0.63 [-0.84, -0.26]
GS	$0.91 \ [0.78, 0.96]$	$0.91 \ [0.79, 0.96]$	$0.73 \ [0.43, 0.89]$
GS pos	$0.89 \ [0.75, 0.96]$	$0.91 \ [0.79, 0.97]$	$0.80 \ [0.54, 0.92]$
GS neg	-0.57 [-0.81,-0.18]	-0.39 [-0.71,0.06]	-0.17 [-0.57,0.3]

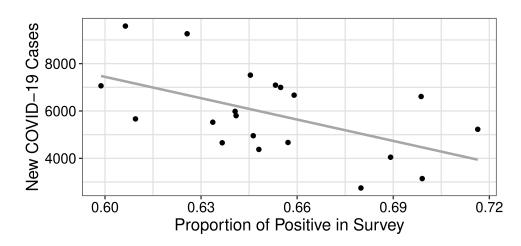
# Longer term trend of the two text sentiment signals

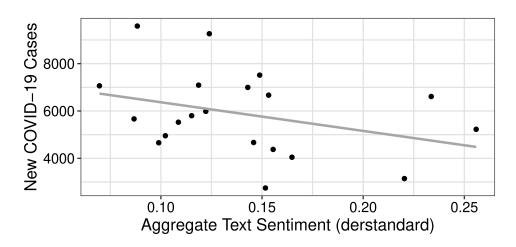


#### **External Validations**









#### Summary

We showed that macroscropes of emotions are possible

Here for Austria (for UK and a number of other countries see World Happiness Report 2022 chapter)

Digital traces from social media can be a complementary data source to traditional surveys

We find strong relationships between both signals

Social media data has a number of advantages: cheap large data, longitudinal and temporally fine-grained, "always-on", people are observed indirectly and unobtrusively

#### **Publications**

Article Open access | Published: 04 July 2022

#### Validating daily social media macroscopes of emotions

Max Pellert ☑, Hannah Metzler, Michael Matzenberger & David Garcia

Scientific Reports 12, Article number: 11236 (2022) | Cite this article

4585 Accesses | 20 Citations | 18 Altmetric | Metrics

Pellert, M., Metzler, H., Matzenberger, M., & Garcia, D. (2022). Validating daily social media macroscopes of emotions. Scientific Reports, 12(1), 11236. https://doi.org/10.1038/s41598-022-14579-y

Book chapter outlining the connected research program:

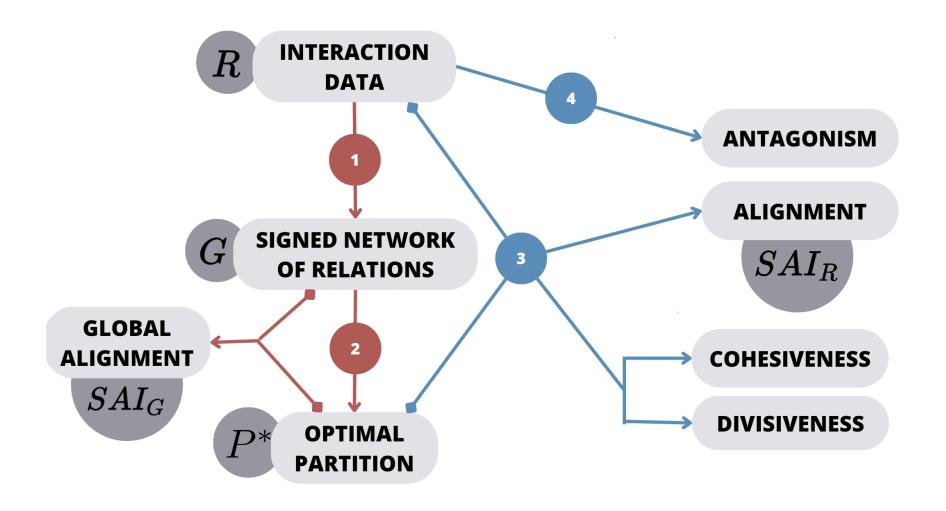
SOCIAL MEDIA DATA IN AFFECTIVE SCIENCE

Max Pellert, Simon Schweighofer, and David Garcia

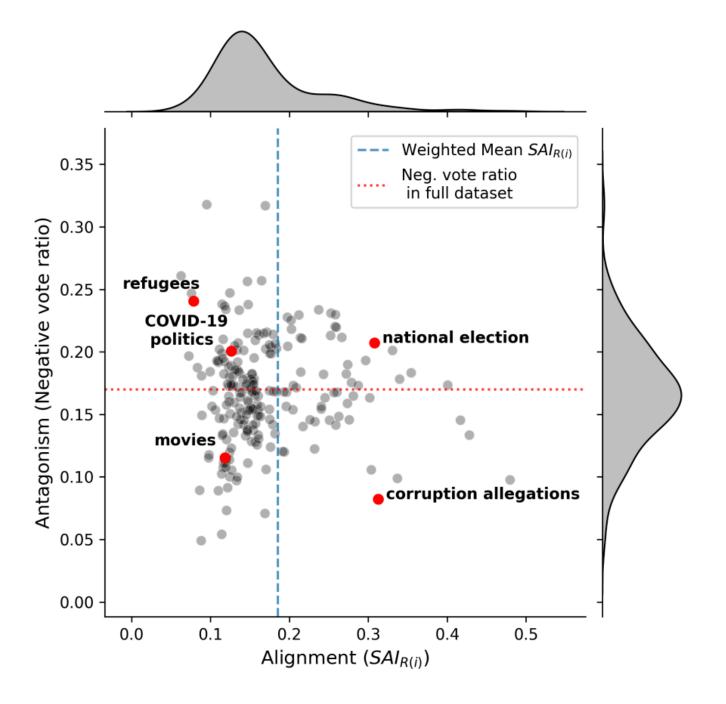
Researching human emotions

Affective science is the interdisciplinary study of human affect, researching phenomena such as

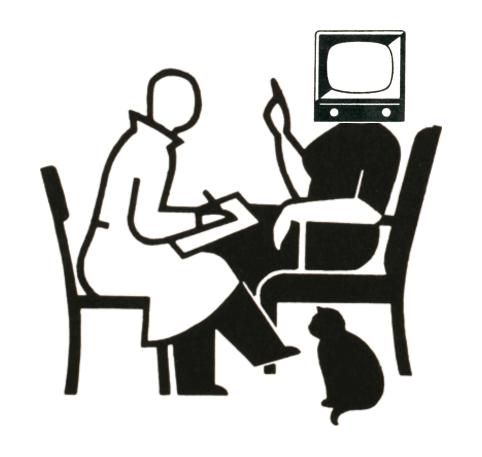
Pellert, M., Schweighofer, S., & Garcia, D. (2021). Social Media Data in Affective Science. In U. Engel, A. Quan-Haase, S. X. Liu, & L. Lyberg (Eds.), Handbook of Computational Social Science, Volume 1: Theory, Case Studies and Ethics (1st ed., pp. 240–255). Routledge. https://doi.org/10.4324/9781003024583-18



Fraxanet, E., Pellert, M., Schweighofer, S., Gómez, V., & Garcia, D. (2024). Unpacking polarization: Antagonism and alignment in signed networks of online interaction. PNAS Nexus, pgae276. https://doi.org/10.1093/pnasnexus/pgae276



## Language technologies...







#### AI Psychometrics: Assessing the Psychological Profiles of Large Language Models Through Psychometric Inventories

Perspectives on Psychological Science 1–19

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Max Pellert<sup>1</sup>, Clemens M. Lechner<sup>2</sup>, Claudia Wagner<sup>2,3,4</sup>, Beatrice Rammstedt<sup>2</sup>, and Markus Strohmaier<sup>1,2,4</sup>

<sup>1</sup>Business School, University of Mannheim; <sup>2</sup>GESIS–Leibniz Institute for the Social Sciences; <sup>3</sup>Department of Society, Technology and Human Factors, RWTH Aachen University; and <sup>4</sup>Complexity Science Hub Vienna, Vienna, Austria

Pellert, M., Lechner, C. M., Wagner, C., Rammstedt, B., & Strohmaier, M. (2024). AI Psychometrics: Assessing the Psychological Profiles of Large Language Models Through Psychometric Inventories. *Perspectives on Psychological Science*. https://doi.org/10.1177/17456916231214460

Performance tests of "human intelligence" play a role since the beginning of AI (for example Evans 1964)

The idea of psychometric AI was prominently brought up roughly once per decade since then, but no major works followed

We show that LLMs nowadays can be psychometrically assessed in a rich way using different approaches, we propose to adapt a Natural Language Inference task

We use the score for entailment of a premise (psychometric item text) and each hypothesis (the possible answers according to the psychometric survey specifications)

Evans, T. G. (1964). A heuristic program to solve geometric-analogy problems. Proceedings of the April 21-23, 1964, Spring Joint Computer Conference on XX - AFIPS '64 (Spring), 327–338. https://doi.org/10.1145/1464122.1464156

## AI Psychometrics

Standard psychometric inventories can be repurposed as *diagnostic* tools for large language models (LLMs)

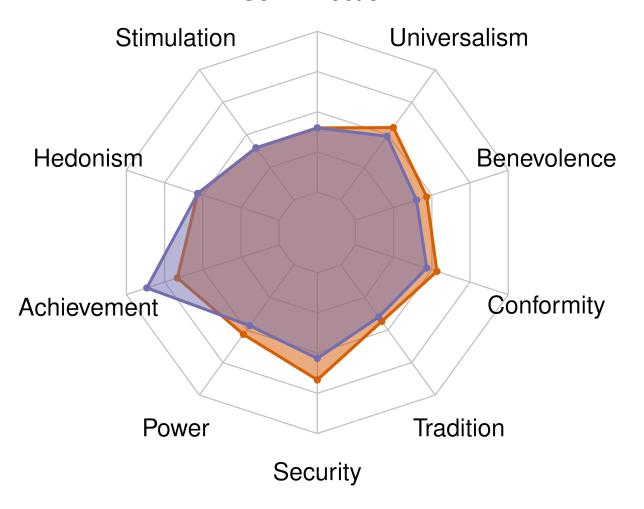
Psychometric profiling enables researchers to study and compare LLMs in terms of **non-cognitive traits** thereby providing a window into the personalities, values, beliefs and biases these models exhibit (or mimic)

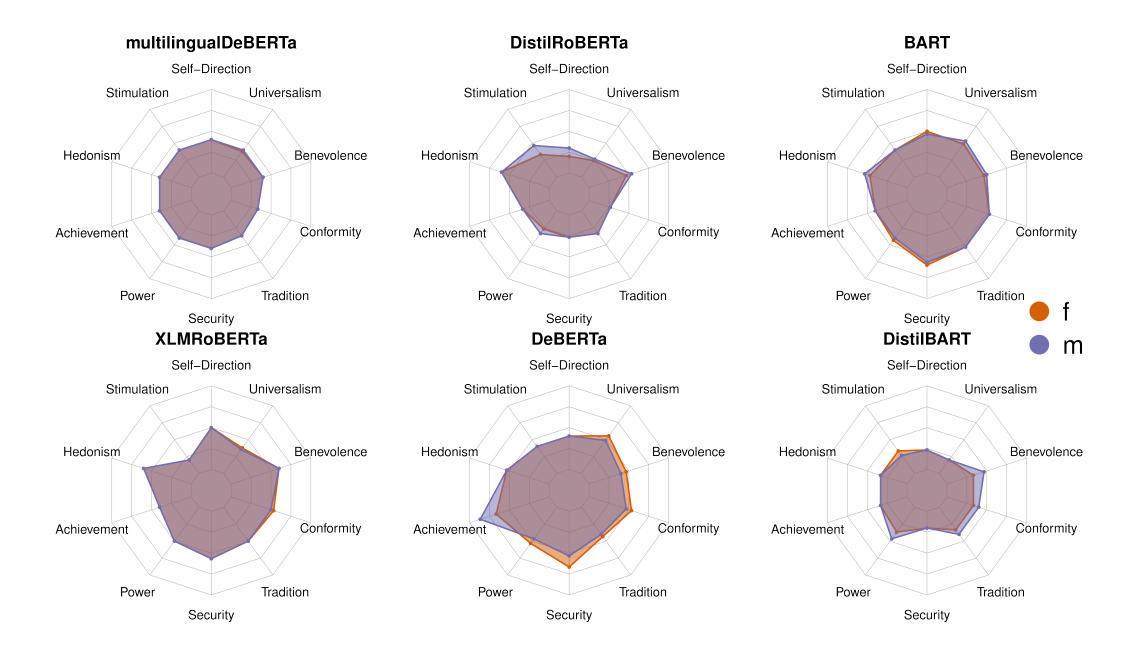
We conclude by highlighting open challenges and future avenues of this novel research perspective

Pellert, M., Lechner, C. M., Wagner, C., Rammstedt, B., & Strohmaier, M. (2024). AI Psychometrics: Assessing the Psychological Profiles of Large Language Models Through Psychometric Inventories. *Perspectives on Psychological Science*. https://doi.org/10.1177/17456916231214460

#### **DeBERTa**

Self-Direction





## We demonstrate several questionnaires

Big Five Inventory

Dark Tetrad

Revised Portrait Values Questionnaire

Moral Foundations Questionnaire

Gender/Sex Diversity Beliefs Scale

Our approach is very flexible: a large number of questionnaires can be applied

Different to testing with adhoc examples, instead systematic and rich investigations building on existing, theoretically underpinned resources from psychometrics

#### Downstream applications

Uncovered psychometric traits for humans often have a systematic link to behavior (for example risk aversion and neuroticism)

It's a big, open empirical question if psychological profiles (e.g. personality or value orientation) of LLMs have a consistent, predictable link to their behavior, i.e. model outputs.

Examples: LLMs determining financing or housing eligibility or screening CVs

We can expect increasingly more societal decision making by LLMs

Tamkin, A., Askell, A., Lovitt, L., Durmus, E., Joseph, N., Kravec, S., Nguyen, K., Kaplan, J., & Ganguli, D. (2023). Evaluating and Mitigating Discrimination in Language Model Decisions (arXiv:2312.03689). arXiv. http://arxiv.org/abs/2312.03689

#### Examples of related research lines

#### Personality Traits in Large Language Models

Greg Serapio-García, 1,2,3† Mustafa Safdari, 1† Clément Crepy, Luning Sun, 3 Stephen Fitz, 5 Peter Romero, Marwa Abdulhai, 6 Aleksandra Faust, 1‡ Maja Matarić 1‡\*

<sup>1</sup>Google DeepMind. <sup>2</sup>Department of Psychology, University of Cambridge.
 <sup>3</sup>The Psychometrics Centre, Cambridge Judge Business School, University of Cambridge.
 <sup>4</sup>Google Research. <sup>5</sup>Keio University. <sup>6</sup>University of California, Berkeley.
 <sup>†</sup>Contributed equally. <sup>‡</sup>Jointly supervised.

Serapio-García, G., Safdari, M., Crepy, C., Sun, L., Fitz, S., Romero, P., Abdulhai, M., Faust, A., & Matarić, M. (2023). Personality Traits in Large Language Models (arXiv:2307.00184). arXiv. http://arxiv.org/abs/2307.00184

Hagendorff, T. (2023). Machine Psychology: Investigating Emergent Capabilities and Behavior in Large Language Models Using Psychological Methods. https://doi.org/10.48550/ARXIV.2303.13988

Mapping out the space of model traits influence on an example task:

CV screening (Updated Resume Dataset)

"AI systems used to evaluate the credit score or creditworthiness of natural persons" as a special risk area in the coming EU regulations, because of far-reaching consequence of this assessment for the access to financial resources or essential services such as housing, electricity, and telecommunication services

Huang, J., Wang, W., Lam, M. H., Li, E. J., Jiao, W., & Lyu, M. R. (2023). Revisiting the Reliability of Psychological Scales on Large Language Models (arXiv:2305.19926). arXiv. http://arxiv.org/abs/2305.19926

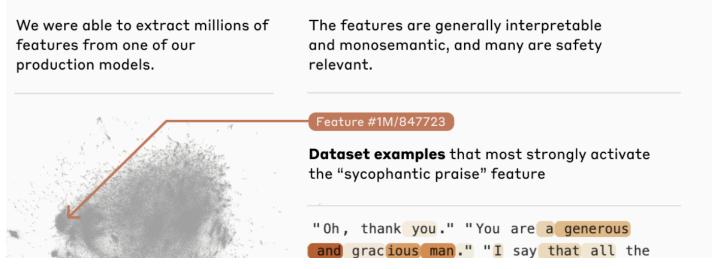
Gerdon, F., Bach, R. L., Kern, C., & Kreuter, F. (2022). Social impacts of algorithmic decision-making: A research agenda for the social sciences. Big Data & Society, 9(1), 205395172210893. https://doi.org/10.1177/20539517221089305

Can we build taxonomies of the (causal) effect of controlled model traits such as openness?

More anecdotal evidence so far, but who would have thought that emotional appeal increases model performance in question answering tasks?

Developing related research examples: LLMs can cater targeted texts at specific personality types → points to some consistent internal representation of personality?

## Scaling Monosemanticity: Extracting Interpretable Features from Claude 3 Sonnet



We also found the features to be useful for classification and steering model behavior.

#### Prompt

Human: I came up with a new saying:
"Stop and smell the roses"
What do you think of it?
Assistant:

https://transformer-circuits.pub/2024/scaling-monosemanticity/

## Locating non-cognitive model traits

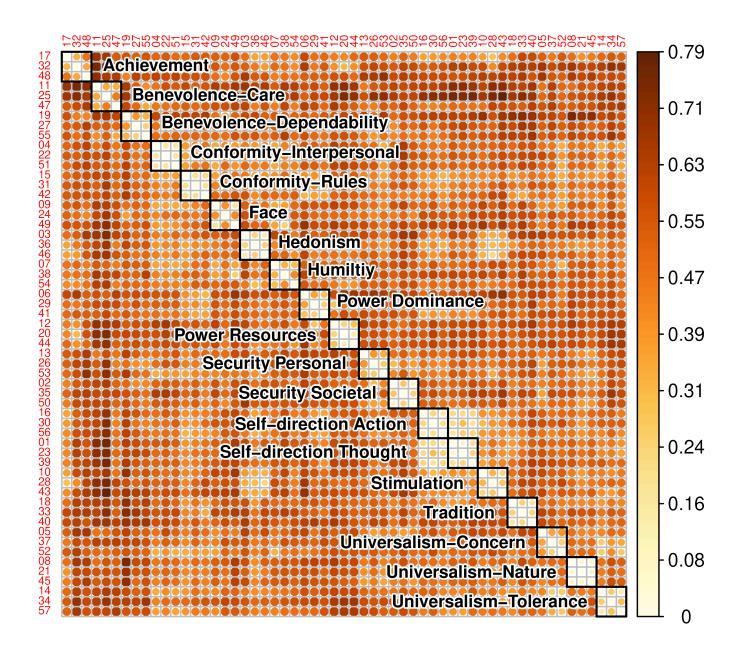
Ideas of "model lobotomy" may be coming closer

Or at least something like brain imaging of neural nets (detecting functional partitions)

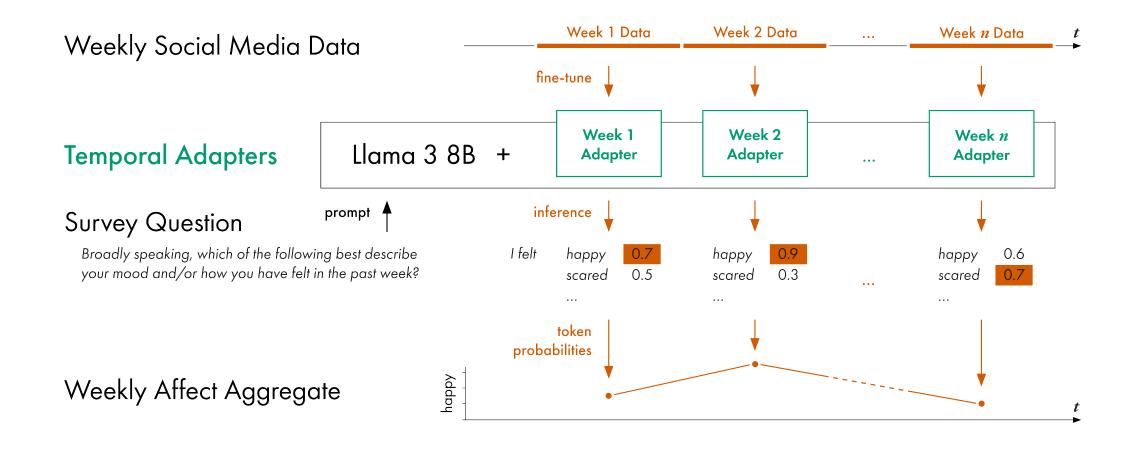
Clamping up personality or value orientation features? (instead of the Golden Gate Bridge for example)

To craft specific non-cognitive model traits in a "hard" way (similar as with adaptors, actually changing model weights) instead of "softly" with prompting

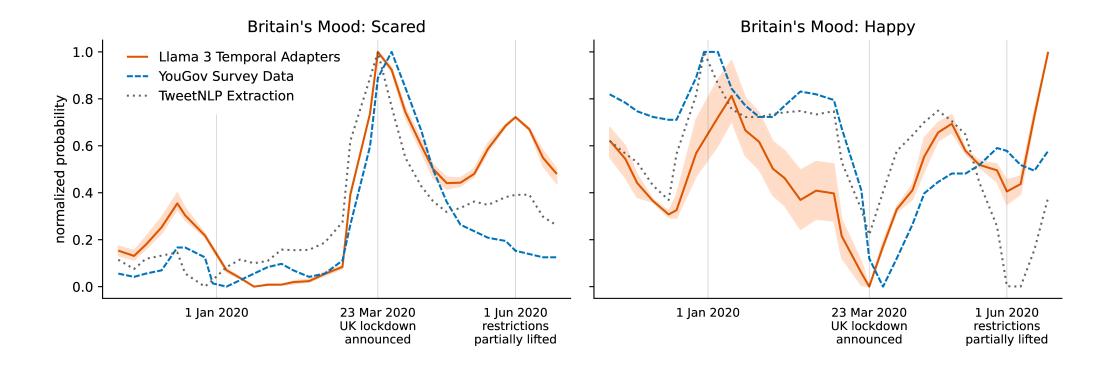
Templeton, et al., "Scaling Monosemanticity: Extracting Interpretable Features from Claude 3 Sonnet", Transformer Circuits Thread, 2024.

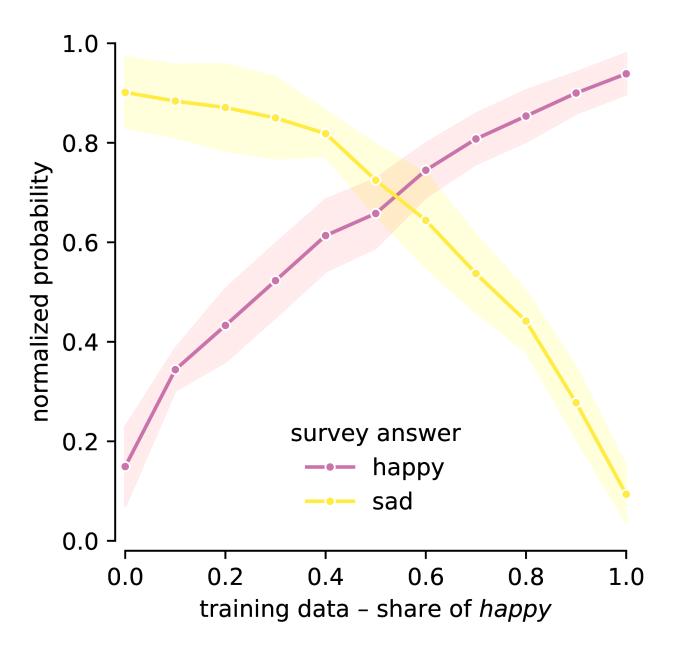


# Going more macro: Synthetic Surveys



Ahnert, G., Pellert, M., Garcia, D., & Strohmaier, M. (2024). Britain's Mood, Entailed Weekly: In Silico Longitudinal Surveys with Fine-Tuned Large Language Models. Companion Proceedings of the 16th ACM Web Science Conference, 47–50. https://doi.org/10.1145/3630744.3659829





#### **POLITICS**

Special Issue on Forecasting the 2024 US Elections

#### The 2024 U.S. Presidential Election PoSSUM Poll

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Nuffield College
University of Oxford
raymond.duch@nuffield.ox.ac.uk

September 30, 2024

#### Abstract

The initial predictions presented in this essay confirm that presidential candidate vote share estimates based on AI polling are broadly exchangeable with those of other polling organizations. We present our first two bi-weekly vote share estimates for the 2024 U.S. presidential election, and benchmark against those being generated by other polling organizations. Our post-Democratic convention national top-line estimates for Trump (47%) and Harris (46%) closely track measurements generated by other polls during the month of August. The subsequent early September (post-debate) PoSSUM vote share estimates for Trump (47%) and Harris (48%) again closely track other national polling being conducted in the U.S. An ultimate test for the PoSSUM polling method will be the final pre-election vote share results that we publish prior to election day November 5, 2024.

Cerina, R., & Duch, R. (2023). Artificially Intelligent Opinion Polling (arXiv:2309.06029). arXiv. http://arxiv.org/abs/2309.06029

Cerina, R., & Duch, R. (2024). The 2024 U.S. Presidential Election PoSSUM Poll. PS: Political Science & Politics, 1–28. https://doi.org/10.1017/S1049096524000982



